

## List of Patents and Publications for Information Disclosure Statement

Applicant(s): David J. Tyrrell et al.  
 Serial No.: 09/746,888  
 Filed: December 22, 2000

Docket No.: 16,497  
 Group: 3761  
 Examiner: J. Webb

U.S. Patent Documents							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A1						
	A2						
	A3						
	A4						
	A5						
	A6						RECEIVED
	A7						
	A8						AUG 23 2002
	A9						
	A10						TECHNOLOGY CENTER 26700
Foreign Patent Documents							
Initials		Doc. No.	Date	Country	Class	Subclass	Trans.
<i>ai</i>	A1	WO 98/55159 A2	12/1998	World - PCT			<input type="checkbox"/> <input type="checkbox"/>
	A2						<input type="checkbox"/> <input type="checkbox"/>
	A3						<input type="checkbox"/> <input type="checkbox"/>
	A4						<input type="checkbox"/> <input type="checkbox"/>
	A5						<input type="checkbox"/> <input type="checkbox"/>
	A6						<input type="checkbox"/> <input type="checkbox"/>
Other Documents							
Initials		Author, Title, Date, Pages, etc.					
	A1						
	A2						
	A3						
	A4						
	A5						
	A6						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner

*Opusque et al. et al.*

Date Considered:

*9-26-02*



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U.S. Patent Documents							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
SD	A1	US 2001/0006666 A1	07/2001	Harbeck			
SD	A2	4,760,096	07/1988	Sakai et al.			
SD	A3	4,960,592	10/1990	Hagen et al.			
SD	A4	5,384,125	01/1995	DiPippo et al.			
SD	A5	5,525,346	06/1996	Hartung et al.			
SD	A6	5,855,897	01/1999	Pelle			
SD	A7	5,871,763	02/1999	Luu et al.			
SD	A8	5,945,110	08/1999	Vianen et al.			
SD	A9	5,951,990	09/1999	Ptchelintsev			
SD	A10	5,989,577	11/1999	Hoath et al.			
SD	A11	US 6,217,890 B1	04/2001	Paul et al.			
SD	A12	US 6,287,581 B1	09/2001	Krzysik et al.			
SD	A13	US 6,294,186 B1	09/2001	Beerse et al.			
SD	A14	US 6,344,218 B1	02/2002	Dodd et al.			

## Foreign Patent Documents

Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
							Yes	No
SD	A1	GB 2311727 A	10/1997	Great Britain			<input type="checkbox"/>	<input type="checkbox"/>
SD	A2	WO 99/62478 A1	12/1999	PCT – World Eng. abstr.		ABSTRACT ONLY	<input type="checkbox"/>	X
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	A5						<input type="checkbox"/>	<input type="checkbox"/>
	A6						<input type="checkbox"/>	<input type="checkbox"/>
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U.S. Patent Documents							
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JK	A1 3,305,392	02/1967	Britt				
JK	A2 3,585,998	06/1971	Hayford et al.				
JK	A3 3,814,101	06/1974	Kozak				
JK	A4 3,881,488	05/1975	Delanty et al.				
JK	A5 4,040,857	08/1977	Lissant				
JK	A6 4,100,324	07/1978	Anderson et al.				
JK	A7 4,164,563	08/1979	Chang				
JK	A8 4,273,786	06/1981	Kraskin				
JK	A9 4,343,783	08/1982	Hooper et al.				
JK	A10 4,355,020	10/1982	Kuy				
JK	A11 4,355,046	10/1982	Suess				
JK	A12 4,604,313	08/1986	McFarland et al.				
JK	A13 4,613,447	09/1986	Hara et al.				
JK	A14 4,623,339	11/1986	Ciraldo et al.				
JK	A15 4,634,438	01/1987	Sustmann et al.				
JK	A16 4,634,439	01/1987	Sustmann et al.				
JK	A17 4,637,820	01/1987	Marini et al.				
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JK	A20 4,685,909	08/1987	Berg et al.				
JK	A21 4,711,780	12/1987	Fahim				
JK	A22 4,732,797	03/1988	Johnson et al.				
JK	A23 4,738,678	04/1988	Paulis				
JK	A24 4,753,643	06/1988	Kassai				
JK	A25 4,753,647	06/1988	Curtis				
JK	A26 4,772,501	09/1988	Johnson et al.				
JK	A27 4,790,836	12/1988	Brecher				
JK	A28 4,790,840	12/1988	Cortina				
JK	A29 4,808,175	02/1989	Hansen				
JK	A30 4,861,405	08/1989	Kassai				

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Examiner:

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Date Considered:

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Initials	Doc. No.	Date	Name	Class	Subclass	Filing Date		
SN	A31 4,882,204	11/1989	Tenenbaum					
SN	A32 4,911,932	03/1990	Clum et al.					
SN	A33 4,931,052	06/1990	Feldman					
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SN	A35 4,990,144	02/1991	Blott					
SN	A36 4,996,238	02/1991	Matravers					
SN	A37 5,043,155	08/1991	Puchalski et al.					
SN	A38 5,049,440	09/1991	Bornhoeft, III et al.					
SN	A39 5,110,593	05/1992	Benford					
SN	A40 5,139,790	08/1992	Snipes					
SN	A41 5,141,803	08/1992	Pregozien					
SN	A42 5,192,277	03/1993	Chung et al.					
SN	A43 5,194,261	03/1993	Pichierri					
SN	A44 5,232,691	08/1993	Lemole					
SN	A45 5,244,668	09/1993	Snipes					
SN	A46 5,336,212	08/1994	De Francesco					
SN	A47 5,336,692	08/1994	Gans et al.					
SN	A48 5,350,624	09/1994	Georger et al.					
SN	A49 5,362,488	11/1994	Sibley et al.					
SN	A50 5,436,007	07/1995	Hartung et al.					
SN	A51 5,496,298	03/1996	Kuepper et al.					
SN	A52 5,533,990	07/1996	Yeo					
SN	A53 5,569,230	10/1996	Fisher					
SN	A54 5,578,310	11/1996	M'Timkulu et al.					
SN	A55 5,605,749	02/1997	Pike et al.					
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SN	A57 5,609,587	03/1997	Roe					
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EW	A61 5,665,368	09/1997	Lentini et al.				
EW	A62 5,693,037	12/1997	Lee et al.				
EW	A63 5,738,859	04/1998	Posner				
EW	A64 5,830,487	11/1998	Klofta et al.				
EW	A65 5,849,314	12/1998	Dobkowski et al.				
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EW	A67 5,856,245	01/1999	Caldwell et al.				
EW	A68 5,869,172	02/1999	Caldwell				
EW	A69 6,004,566	12/1999	Friedman et al.				
EW	A70 6,136,332	10/2000	Grollier et al.				
EW	A71 6,156,024	12/2000	Schulte et al.				
EW	A72 US 6,183,766 B1	02/2001	Sine et al.				
EW	A73 US 6,309,736 B1	10/2001	McCormack et al.				

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Initials	Doc. No.	Date	Country	Class	Subclass	Trans.	Yes	No
EW	A1 DE 41 36 540 A1	05/1992	Germany – Eng. abstract			Abstract Only	<input type="checkbox"/>	<input checked="" type="checkbox"/>
EW	A2 EP 0 797 968 A1	10/1997	Europe				<input type="checkbox"/>	<input type="checkbox"/>
EW	A3 EP 0 808 151 B1	08/2001	Europe				<input type="checkbox"/>	<input type="checkbox"/>
EW	A4 EP 0 875 233 A1	11/1998	Europe				<input type="checkbox"/>	<input type="checkbox"/>
EW	A5 EP 1 057 476 A1	12/2000	Europe				<input type="checkbox"/>	<input type="checkbox"/>
EW	A6 GB 880,276	10/1961	Great Britain				<input type="checkbox"/>	<input type="checkbox"/>
EW	A7 GB 884,688	12/1961	Great Britain				<input type="checkbox"/>	<input type="checkbox"/>
EW	A8 GB 2 033 751 A	05/1980	Great Britain				<input type="checkbox"/>	<input type="checkbox"/>
EW	A9 WO 90/12555 A1	11/1990	PCT – World				<input type="checkbox"/>	<input type="checkbox"/>
EW	A10 WO 92/09289 A1	06/1992	PCT – World				<input type="checkbox"/>	<input type="checkbox"/>
EW	A11 WO 93/16670 A1	09/1993	PCT – World				<input type="checkbox"/>	<input type="checkbox"/>
EW	A12 WO 93/21878 A1	11/1993	PCT – World				<input type="checkbox"/>	<input type="checkbox"/>
EW	A13 WO 94/09757 A1	05/1994	PCT – World				<input type="checkbox"/>	<input type="checkbox"/>
EW	A14 WO 94/09796 A1	05/1994	PCT – World				<input type="checkbox"/>	<input type="checkbox"/>

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Initials	Doc. No.	Date	Country	Class	Subclass	Yes	No	Trans.
SW	A15 WO 95/19190 A1	07/1995	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
SW	A16 WO 96/16681 A1	06/1996	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
SW	A17 WO 96/16682 A1	06/1996	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
SW	A18 WO 97/05908 A2	02/1997	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
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SW	A20 WO 97/38735 A1	10/1997	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
SW	A21 WO 97/38738 A1	10/1997	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
ZAN	A22 WO 98/00858 A1	01/1998	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
ZAN	A23 WO 99/12530 A1	03/1999	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
ZAN	A24 WO 99/13861 A1	03/1999	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
ZAN	A25 WO 99/62478 A1	12/1999	PCT – World – Eng. abst.			<input type="checkbox"/>	<input checked="" type="checkbox"/>	
ZAN	A26 WO 00/38747 A2	07/2000	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
ZAN	A27 WO 00/69482 A1	11/2000	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
ZAN	A28 WO 00/69483 A1	11/2000	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	
ZAN	A29 WO 00/69484 A1	11/2000	PCT – World			<input type="checkbox"/>	<input type="checkbox"/>	

## Other Documents

Initials	Author, Title, Date, Pages, etc.
SW	Patent Abstracts of Japan 02043265 A: Description of Masaru et al., "THIXOTROPIC SEMISOLID COMPOSITION."
ZAN	Patent Abstracts of Japan 02053709 A: Description of Masaru et al., "THIXOTROPIC SEMI-SOLID COMPOSITION."
ZAN	Patent Abstracts of Japan 06065104 A: Description of Michio, "POWDER COMPOSITION FOR DERMATIC APPLICATION PREVENTED FROM SCATTERABILITY."
ZAN	Patent Abstracts of Japan 07267839 A: Description of Yoshiko et al., "OINTMENT COMPOSITION ADHESIVE TO ORAL MUCOSA."
ZAN	Patent Abstracts of Japan 09151112 A: Description of Yasuhiro et al., "MICROEMULSION COMPOSITION."

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Examiner: *James Webb*

Date Considered: *9-26-02*



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Other Documents		
Initials		Author, Title, Date, Pages, etc.
<i>JK</i>	A6	Patent Abstracts of Japan 10306039 A: Description of Christine et al., "SOLID TOPICAL AQUEOUS COMPOSITION CAPABLE OF FORMING FILM, WHEN APPLIED, AND HAVING GEL APPEARANCE."
<i>JK</i>	A7	Patent Abstracts of Japan 55025430 A: Description of Mikio et al., "THICKENING AND GELLING AGENT."
<i>ED</i>	A8	Patent Abstracts of Japan 56110611 A: Description of Mitsue et al., "PREPARATION OF OINTMENT EMBROCATION FOR SKIN."
<i>OP</i>	A9	Patent Abstracts of Japan 59053409 A: Description of Susumu et al., "BASE COMPOSITION AND PHARMACEUTICAL COMPOSITION FOR EXTERNAL USE."
<i>JK</i>	A10	Patent Abstracts of Japan 59122420 A: Description of Katsuo et al., "LOCAL OINTMENT."
<i>JK</i>	A11	Patent Abstracts of Japan 59227816 A: Description of Kenji et al., "SKIN CLEANING AND WIPING AGENT COMPOSITION."
<i>JK</i>	A12	Patent Abstracts of Japan 60006759 A: Description of Tadashi et al., "WATER-DISPERSIBLE RESIN FOR CATAPLASM."
<i>JK</i>	A13	Patent Abstracts of Japan 61129117 A: Description of Sakahito et al., "ALOE-CONTAINING CATAPLASM."
<i>JK</i>	A14	Patent Abstracts of Japan 61194014 A: Description of Hiroshi et al., "HYDROPHILIC BASE."
<i>JK</i>	A15	Patent Abstracts of Japan 63264413 A: Description of Kazuo, "GABEXATE MESYLATE OINTMENT."
<i>JK</i>	A16	American Society for Testing Materials (ASTM) Designation: D 1321-92, "Standard Test Method for Needle Penetration of Petroleum Waxes," pp. 483-485, published December 1992.
<i>JK</i>	A17	American Society for Testing Materials (ASTM) Designation: D 3236-88, "Standard Test Method for Apparent Viscosity of Hot Melt Adhesives and Coating Materials," pp. 326-331, published December 1988.
<i>JK</i>	A18	Federal Test Method Standard (FTMS) No. 191A, Method 5514, "Water Resistance of Cloth; Low Range, Hydrostatic Pressure Method," July 20, 1978, 3 pages.

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Other Documents	
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<i>JW</i>	A19 Federal Test Method Standard (FTMS) No. 191A, Method 5450, "Permeability To Air; Cloth; Calibrated Orifice Method," July 20, 1978, 5 pages.
<i>JW</i>	A20 "Blue Moon Salves and Balms," Blue Moon Soaps: Herbal, Natural Bath Supplies and Gifts, Internet web page, "http://www.bluemoonsoaps.com/balm.html", viewed and printed February 28, 2002, 2 pages.
<i>JW</i>	A21 "Snow Balm," Snow Pharmaceuticals, LLC, Internet web page, "http://www.snowbalm.com/intro.htm", viewed and printed February 28, 2002, 3 pages.
<i>JW</i>	A22 "The Arbonne Baby Care," The White Whale, Internet web page, "http://thewhitewhale.com/babycare.htm", viewed and printed February 28, 2002, 2 pages.
<i>JW</i>	A23 Barbero, G.J. et al., "Stool Trypsin and Chymotrypsin, "American Journal of Diseases of Children, Vol. 112, July through December, 1966, pp. 536-540.
<i>JW</i>	A24 Barry, B.W. and A.J. Grace, "Investigation of Semisolid Lipophilic Preparations by Small Strain and Continuous Shear Viscometry and Their Application to Texture Profile," Journal of Pharmaceutical Sciences, Vol. 60, No. 6, June 1971, pp. 814-820.
<i>JW</i>	A25 Boylan, James C., "Rheological Study of Selected Pharmaceutical Semisolids," Journal of Pharmaceutical Sciences, Vol. 55, No. 7, July 1966, pp. 710-715.
<i>JW</i>	A26 Braudo, E.E. et al., "The Effect Produced by the Green Tea Components and Tannin on the Fermentative Activity of Trypsin in Vitro," Vopr Pitan, Vol. 27, Issue No. 6, November-December 1968, pp. 40-44. (Russian w/English summary)
<i>JW</i>	A27 Bremecker, K.D. et al., "Novel Concept for a Mucosal Adhesive Ointment," Journal of Pharmaceutical Sciences, Vol. 73, No. 4, April 1984, pp. 548-552.
<i>JW</i>	A28 Davies, Owen L. and Peter L. Goldsmith, editors, Statistical Methods in Research and Production, Fourth Revised Edition, published by Longman Inc., New York, 1984, pg. 460.
<i>JW</i>	A29 Davis, S.S. et al., "Some Limitations of Continuous Shear Methods for the Study of Pharmaceutical Semi-Solids," Journal of Pharmacy and Pharmacology, Vol. 20, Supplemental Issue, December 1968, pp.157S-167S.
<i>JW</i>	A30 Drechsler, Lee Ellen et al., "The Wipe: A Carrier of Skin Benefits," Cosmetics & Toiletries, Vol. 116, No. 10, October 2001, pp. 33-36, 38, 40, 42.

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Other Documents		
Initials	Author, Title, Date, Pages, etc.	
JD	A31	Eccleston, G.M. et al., "Correlation of Viscoelastic Functions for Pharmaceutical Semisolids: Comparison of Creep and Oscillatory Tests for Oil-in-Water Creams Stabilized by Mixed Emulsifiers," <u>Journal of Pharmaceutical Sciences</u> , Vol. 62, No. 12, December 1973, 1954-1961.
JD	A32	Eccleston, G.M., "Structure and Rheology of Cetomacrogol Creams: The Influence of Alcohol Chain Length and Homologue Composition," <u>Journal of Pharmacy and Pharmacology</u> , Vol. 29, No. 3, March 1977, pp. 157-162.
JD	A33	Eros, I. and A. Thaleb, "Rheological Studies of Creams: I. Rheological Functions and Structure of Creams," <u>Acta Pharmaceutica Hungarica</u> , Vol. 64, No. 3, May 1994, pp. 101-103.
JD	A34	Fuhrer, C., "Gel Structure of Fatty Alcohols in Ointment Bases," <u>Pharmazie</u> , Vol. 26, No. 1, January 1971, pp. 43-5. (German)
JD	A35	Haverback, Bernard J. et al., "Measurement of Trypsin and Chymotrypsin in Stool: A Diagnostic Test for Pancreatic Exocrine Insufficiency," <u>Gastroenterology</u> , Vol. 44, 1963, pp. 588-597.
JD	A36	Huttenrauch, R., "Activation Energies in Plastic Deformation of Ointment Gels," <u>Pharmazie</u> , Vol. 28, No. 4, April 1973, 244-249. (German)
JD	A37	Kedzierewicz, F. et al., "Preparation of Silicone Microspheres by Emulsion Polymerization: Application to the Encapsulation of a Hydrophilic Drug," <u>Journal of Microencapsulation</u> , Vol. 15, No. 2, March-April 1998, pp. 227-236.
JD	A38	Muguet, V. et al., "Formulation of Shear Rate Sensitive Multiple Emulsions," <u>Journal of Controlled Release</u> , Vol. 70, No. 1-2, January 29, 2001, pp. 37-49.
JD	A39	Odio, Mauricio R. et al., "Continuous Topical Administration of a Petrolatum Formulation by a Novel Disposable Diaper. 1. Effect on Skin Surface Microtopography," <u>Dermatology</u> , 2000; 200(3):232-237.
JD	A40	Odio, Mauricio R. et al., "Continuous Topical Administration of a Petrolatum Formulation by a Novel Disposable Diaper. 2. Effect on Skin Condition," <u>Dermatology</u> , 2000; 200(3):238-243.
JD	A41	Pena, Lorraine E. et al., "Structural Rheology of a Model Ointment," <u>Pharmaceutical Research</u> , Vol. 11, No. 6, June 1994, pp. 875-881.

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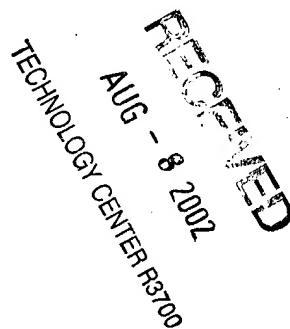
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Other Documents		
Initials		Author, Title, Date, Pages, etc.
<i>JK</i>	A42	Popovici, Iuliana et al., "The Physico-Chemical Characterization and Therapeutic Evaluation of Cicatrol," <u>Revista Medico-Chirurgicala Societatii Medici si Naturalisti din Lasi</u> , Vol. 96, No. 1-2, January-June 1992, pp. 57-64.
<i>BJ</i>	A43	Salo, D.P. et al., "Ion Exchange Properties of Clay Minerals and Its Use for Obtaining Clays With Planned Properties. 3. Effect of the Nature of Exchange Kation on the Structure-Mechanical Properties of Suspensions and Ointment Bases Prepared From Clays of Montmorillonite and Sepiolite-Mountain Leather Groups," <u>Farm Zh</u> , Vol. 23, No. 6, 1968, pp. 61-66. (Ukrainian)
<i>BJ</i>	A44	Taleb, A. and I. Eros, "Rheological Studies of Creams. II. Effect of Water Content on Rheological Characteristics," <u>Acta Pharmaceutica Hungarica</u> , Vol. 66, No. 2, March 1996, pp. 71-76.
<i>AD</i>	A45	Tamburic, S. et al., "An Investigation Into the Use of Thermorheology and Texture Analysis in the Evaluation of W/O Creams Stabilized With a Silicone Emulsifier," <u>Pharmaceutical Development Technology</u> , Vol. 1, No. 3, October 1996, pp. 299-306.
<i>BJ</i>	A46	Vinson, Joe and John Proch, "Inhibition of Moisture Penetration to the Skin by a Novel Incontinence Barrier Product," <u>Journal of Wound Ostomy Continence Nursing</u> , Vol. 25, No. 5, September 1998, pp. 256-260.



EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

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